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Docket No.: 291958237US

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(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Klocke et al.

Application No.: 10/729,349

Confirmation No.: 8267

Filed: December 5, 2003

Art Unit: 1745.

For: CHAMBERS, SYSTEMS, AND METHODS

Examiner: Harry D. Wilkins III

FOR ELECTROCHEMICALLY PROCESSING MICROFEATURE

WORKPIECES

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A summary/abstract translation of the non-English language references is enclosed.

Application No.: 10/729,349 Docket No.: 291958237US

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0665, under Order No. 291958237US.

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8/18/05

Respectfully submitted,

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Approved for use through 07/31/2006. OMB 0651-0031

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Sul	Substitute for form 1449A/B/PTO			Complete if Known		
				Application Number	10/729,349-Conf. #8267	
11	NFORMATIO	N DIS	SCLOSURE	Filing Date	December 5, 2003	
STATEMENT BY APPLICANT				First Named Inventor	John L. Klocke	
_		•		Art Unit	1745	
	(Use as many sheets as necessary)			Examiner Name	Harry D. Wilkins III	
Sheet	1	of	11	Attorney Docket Number	291958237US	

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ST	ATEMEN?	T BY AF	PPLICANT	First Named Inventor	John L. Klocke	
				Art Unit	1745	
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AF2* US-4,437,943						
AG2* US-4,440,597						
AH2* US-4,443,117 04-17-1984 MURAMOTO ET AL.  AI2* US-4,449,885 05-22-1984 RICHARD J. HERTEL  AJ2* US-4,451,197 05-29-1984 ROBERT D. APPLEGATE  AK2* US-4,463,503 08-07-1984 ROBERT D. APPLEGATE  AL2* US-4,469,564 08-21-1984 DUANE E. BACON  AM2* US-4,469,566 09-04-1984 WRAY  AO2* US-4,475,823 10-09-1984 STONE  AP2* US-4,495,153 01-22-1985 MINORU MIDORIKAWA  AR2* US-4,495,153 01-22-1985 MINORU MIDORIKAWA  AR2* US-4,495,453 01-22-1985 HAJIMU INABA  AR2* US-4,500,394 02-19-1985 JOHN S. RIZZO  AT2* US-4,529,480 07-16-1985 TROKHAN  AU2* US-4,520,685 09-17-1985 ALBERT  AV2* US-4,566,685 09-17-1985 ALBERT  AV2* US-4,566,685 03-18-1986 GOFFREDO ET AL.  AX2* US-4,576,689 03-18-1986 ALMAXUD M. MAKKAEV  AY2* US-4,576,689 03-18-1986 DONO  AY2* US-4,5604,177 08-05-1986 DUVO G. SIVILOTTI  AA3* US-4,604,177 08-05-1986 DOLE R. FIEGENER  AB3* US-4,604,178 08-05-1986 DALE R. FIEGENER  AB3* US-4,639,028 01-27-1987 OLSON  AD3* US-4,639,028 01-27-1987 OLSON  AD3* US-4,639,028 01-27-1987 OLSON  AD3* US-4,648,944 03-10-1987 GEORGE ET AL.  AE3* US-4,639,028 01-27-1987 OLSON  AC3* US-4,639,028 01-27-1987 OLSON  AC3* US-4,639,016 08-02-1987 MESSER ET AL.  AE3* US-4,687,552 08-18-1987 EARLY ET AL.  AG3* US-4,687,552 08-18-1987 EARLY ET AL.  AG3* US-4,693,017 09-15-1987 OEHLER ET AL.						
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AK2* US-4,463,503						
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AB3* US-4,634,503   01-06-1987 DANIEL NOGAVICH     AC3* US-4,639,028   01-27-1987 OLSON     AD3* US-4,648,944   03-10-1987 GEORGE ET AL.     AE3* US-4,670,126   06-02-1987 MESSER ET AL.     AF3* US-4,685,414   08-11-1987 DIRICO     AG3* US-4,687,552   08-18-1987 EARLY ET AL.     AH3* US-4,693,017   09-15-1987 OEHLER ET AL.	1.					
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AI3*  US-4,696,729   09-29-1987   SANTINI						
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		_		Application Number	10/729,349-Conf. #8267	
11	<b>NFORMATION</b>	N DIS	SCLOSURE	Filing Date	December 5, 2003	
l s	TATEMENT	BY A	PPLICANT	First Named Inventor	John L. Klocke	
				Art Unit	1745	
	(Use as many sheets as necessary)			Examiner Name	Harry D. Wilkins III	
Sheet	3	of	11	Attorney Docket Number	291958237US	

AJ3*	US-4,715,934	12 20 1007	TAMMINEN	T
AK3*	<u> </u>		<del></del>	<del>                                     </del>
AL3*	US-4,741,624		BARROYER	<u> </u>
	US-4,760,671		WILLIAM R. WARD	<u> </u>
AM3*	US-4,761,214		EDMUND R. HINMAN	
AN3*	US-4,770,590		HUGUES ET AL.	
AO3*	US-4,781,800		LEE M. GOLDMAN	<del></del>
AP3*	US-4,800,818		KAWAGUCHI ET AL.	
AQ3*	US-4,828,654		RONALD G. REED	
AR3*	US-4,849,054	07-18-1989		
AS3*	US-4,858,539		SCHUMANN	
AT3*	US-4,864,239		CASARCIA ET AL.	ļ
AU3*	US-4,868,992		CRAFTS ET AL.	
AV3*	US-4,898,647		LUCE ET AL.	
	US-4,902,398	02-20-1990		
AX3*	US-4,906,341		KOJI YAMAKAWA	
AY3*	US-4,913,035		VOHRINGER ET AL.	
AZ3*	US-4,924,890		GILES ET AL.	
AA4*	US-4,944,650		MATSUMOTO	
AB4*	US-4,949,671		DAVIS ET AL.	<u> </u>
AC4*	US-4,951,601		MAYDAN ET AL.	
AD4*	US-4,959,278		HIDENORI SHIMAUCH	
AE4*	US-4,962,726		MATSUSHITA ET AL.	
AF4*	US-4,979,464		KUNZE-CONCEWITZ ET AL.	
AG4*	US-4,988,533		FREEMAN ET AL.	
AH4*	US-5,000,827	03-19-1991,	VIRGIL E. SCHUSTER	
Al4*	US-5,024,746		STIERMAN ET AL.	
AJ4*	US-5,026,239	06-25-1991		
AK4*	US-5,048,589		COOK ET AL.	
AL4*	US-5,054,988	10-08-1991	SHIRAIWA	
AM4*	US-5,055,036	10-08-1991	ASANO ET AL.	
AN4*	US-5,061,144		MASAMI AKIMOTO	
AO4*	US-5,069,548	12-03-1991	BOEHNLEIN	
AP4*	US-5,078,852	01-07-1992	IAN Y.K. YEE	
	US-5,083,364		OLBRICH ET AL.	
	US-5,096,550	03-17-1992	STEVEN T. MAYER	
	US-5,110,248		ASANO ET AL.	
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AY4*	US-5,146,136	09-08-1992	TOSHIO OGURA	
AZ4*	US-5,151,168	09-29-1992	TERRY L. GILTON	
AA5*	US-5,155,336	10-13-1992	GRONET ET AL.	
AB5*	US-5,156,174	10-20-1992	RAYMON F. THOMPSON	
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				Application Number	10/729,349-Conf. #8267	
IN	<b>NFORMATIO</b>	N DIS	CLOSURE	Filing Date	December 5, 2003	
S	TATEMENT	BY A	PPLICANT	First Named Inventor	John L. Klocke	
				Art Unit	1745	
	(Use as many sheets as necessary)			Examiner Name	Harry D. Wilkins III	
Sheet	4	of	11	Attorney Docket Number	291958237US	

AC	5* US-5,156,730	10-20-1992	ANILKUMAR C. BHATT	
AD			THOMPSON ET AL.	-
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AF			BIGGERSTAFF ET AL.	
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AK AL			BECKER ET AL.	
AM				
AN			TOSHIMA ET AL.	-
			UMAR M. AHMAD	
AO		06-08-1993	MADHAV DATTA	
AP			RAYMON F. THOMPSON	
AQ			BRUCE N. BROGDEN	
AR			RODNEY MILES	<del></del>
AS			SATOSHI MURATA	
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AA			JAIME PORIS	
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AE			TAKUJI NOMURA	
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AG			TAKAO TANIGUCHI	
AH			DAVID J. YOUNG	
Al6			KUBO ET AL.	
AJG			ALLUM ET AL.	
AK			GRANT ET AL.	
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AR			CONNOR ET AL.	
AS			JAIME PORIS	
ATO			KIM J. BLACKWELL	
AU	6* US-5,376,176	12-27-1994	ATSUSHI KURIYAMA	

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	(Use as many	sheets as	necessary)	Examiner Name	Harry D. Wilkins III	
Sheet	5	of	11	Attorney Docket Number	291958237US	

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A	<b>7</b>	US-5,427,674		LANGENSKIOLD ET AL.	
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Al	E7*	US-5,429,733		HIROFUMI ISHIDA	
Al	F7*	US-5,431,803	07-11-1995	DIFRANCO ET AL.	
A	G7*	US-5,437,777		SHUJI KISHI	
A	H7*	US-5,441,629	08-15-1995		
Al	17*	US-5,442,416	08-15-1995	TATEYAMA ET AL.	
		US-5,443,707	08-22-1995		
A	K7*_	US-5,445,484	08-29-1995	KATO ET AL.	
Al	L7*	US-5,447,615	09-05-1995	ISHIDA	
Al		US-5,454,405	10-03-1995	HAWES	
Al	N7*	US-5,460,478	10-24-1995	AKIMOTO ET AL.	
A	07*	US-5,464,313	11-07-1995		
Al	P7*	US-5,472,502	12-05-1995	WILLIAM T. BATCHELDER	
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AS	S7*	US-5,501,768	03-26-1996	HERMANS ET AL.	,
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Al	U7*	US-5,512,319	04-30-1996	COOK ET AL.	
A\	√7*	US-5,514,258		BRINKET ET AL.	
A۱	<b>∧7</b> *	US-5,516,412	02-06-1996	ANDRICACOS ET AL.	
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AL		US-5,620,581	04-15-1997		
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Al	N8*	US-5,639,316	06-17-1997	CYRIL CABRAL	

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Sub	estitute for form 1449A/B/P	то		Complete if Known		
				Application Number	10/729,349-Conf. #8267	
IN	<b>IFORMATIO</b>	N DIS	SCLOSURE	Filing Date	December 5, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	John L. Klocke	
				Art Unit	1745	
	(Use as many sheets as necessary)			Examiner Name	Harry D. Wilkins III	
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	AO8*	US-5,641,613	06 24 1007	BOFF ET AL.	1
-	AP8*	US-5,650,082		ANDERSON	
<b>—</b>		US-5,651,823		PARODI ET AL.	`
<u> </u>		US-5,658,387		TIMOTHY J. REARDON	
ļ	AS8*			PEUSE ET AL.	
-	AT8*	US-5,660,472		THOMPSON ET AL.	
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		US-5,662,788		GURTEJ SANDHU	
	AV8*	US-5,664,337		DAVIS ET AL.	
	AW8*	US-5,670,034		KENNETH J. LOWERY	
		US-5,676,337		GIRAS ET AL.	
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	AA9*	US-5,681,392		EUGENE A. SWAIN	
	AB9*	US-5,683,564		REYNOLDS	ļ
<u> </u>		US-5,684,654		SEARLE ET AL.	
	AD9*	US-5,684,713		ASADA ET AL.	
	AE9*	US-5,700,127		JUNJI HARADA	
		US-5,711,646		UEDA ET AL:	
		US-5,723,028		JAIME PORIS	
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	AAA*	US-5,892,207	04-06-1999	KAWAMURA ET AL.	
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Signature	:	Considered	

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0				Application Number	10/729,349-Conf. #8267	
11	NFORMATIO	N DIS	CLOSURE	Filing Date	December 5, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	John L. Klocke	
_		_ •		Art Unit	1745	
	(Use as many s	heets as ne	ecessary)	Examiner Name	Harry D. Wilkins III	
Sheet	7	of	11	Attorney Docket Number	291958237US	

AHA*	US-5,980,706	11-09-1999	MARTIN BLECK	
AIA*	US-5,985,126	11-16-1999	MARTIN C. BLECK	
AJA*	US-5,989,397	11-23-1999	LAUBE ET AL.	
AKA*	US-5,989,406	11-23-1999	CHARLES P. BEETZ	
ALA*	US-5,998,123	12-07-1999	TANAKA ET AL.	
AMA*	US-5,999,886	12-07-1999	MARTIN ET AL.	
ANA*	US-6,001,235	12-14-1999	ARKEN ET AL.	
AOA*	US-6,004,828	12-21-1999	KYLE M. HANSON	
APA*	US-6,017,820	01-25-2000	TING ET AL.	
AQA*	US-6,027,631	02-22-2000	ELIOT K. BROADBENT	
ARA*	US-6,028,986	02-22-2000	SONG	
ASA*	US-6,051,284	04-18-2000	JOSHUA BYRNE	
ATA*	US-6,053,687	04-25-2000	THOMAS I. KIRKPATRICK	
AUA*	US-6,072,160	06-06-2000	BAHL	
AVA*	US-6,072,163	06-06-2000	ARMSTRONG ET AL.	
AWA*	US-6,074,544	06-13-2000	JONATHAN D. REID	
AXA*	US-6,080,288	06-27-2000	SCHWARTZ ET AL.	
AYA*	US-6,080,291	06-27-2000	WOODRUFF ET AL.	
AZA*	US-6,080,691	06-27-2000	LINDSAY ET AL.	
AAB*	US-6,086,680		FOSTER ET AL.	
ABB*	US-6,090,260	07-18-2000	SATOSHI INOUE	
ACB*	US-6,091,498		KYLE HANSON	
ADB*	US-6,099,702	08-08-2000	JONATHAN D. REID	
AEB*	US-6,099,712	08-08-2000	THOMAS L. RITZDORF	
AFB*	US-6,103,085	08-15-2000	WOO ET AL.	
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AMB*	US-6,139,703	10-31-2000	HANSON ET AL.	
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AUB*	US-6,159,354		ROBERT J. CONTOLINI	
AVB*	US-6,162,344		JONATHAN D. REID	
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Sub	Substitute for form 1449A/B/PTO			Complete if Known		
-				Application Number	10/729,349-Conf. #8267	
IN	<b>IFORMATIO</b>	N DIS	CLOSURE	Filing Date	December 5, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	John L. Klocke	
_	•			Art Unit	1745	
	(Use as many s	heets as r	necessary)	Examiner Name	Harry D. Wilkins III	
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ABC*	US-6,184,068		OHTANI ET AL.	
ACC*	US-6,193,859		ROBERT J. CONTOLINI	ļ
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AEC*	US-6,218,097		BELL ET AL.	
AFC*	US-6,221,230		HIROMITSU TAKEUCHI	
AGC*	US-6,228,232		DANIEL J WOODRUFF	<u> </u>
AHC*	US-6,234,738		KAZUO KIMATA	
AIC*	US-6,251,238		KAUFMAN ET AL.	
AJC*	US-6,251,528		UZOH ET AL.	
AKC*	US-6,254,742		HANSON ET AL.	
ALC*	US-6,258,220		YEZDI DORDI	
AMC*	US-6,261,433		UZIEL LANDAU	
ANC*	US-6,270,647		LYNDON W. GRAHAM	
AOC*	US-6,277,263	08-21-2001	LINLIN CHEN	
APC*	US-6,278,089	08-21-2001	YOUNG ET AL.	
AQC*	US-6,280,183		MAYUR ET AL.	
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ATC*	US-6,297,154	10-02-2001		
AUC*	US-6,303,010	10-16-2001	WOODRUFF ET AL.	
AVC*	US-6,309,520	10-30-2001	WOODRUFF ET AL.	
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AZC*	US-6,322,677		DANIEL WOODRUFF	
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	US-6,409,892		WOODRUFF ET AL.	
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AID*	US-6,471,913		WEAVER ET AL.	
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	US-6,491,806		VALERY DUBIN	
ALD*	US-6,497,801		DANIEL J WOODRUFF	
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AND*	US-6,562,421	11-07-2002		
	US-6,565,729		LINLIN CHEN	
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AQD*	US-6,599,412		LYNDON GRAHAM	
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ASD*	US-6,632,334		TIMOTHY ANDERSON	
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Signature			Considered	<u> </u>

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		_		Application Number	10/729,349-Conf. #8267	
l IN	<b>NFORMATION</b>	1 DI	SCLOSURE	Filing Date	December 5, 2003	
l s	TATEMENT I	3Y /	APPLICANT	First Named Inventor	John L. Klocke	
				Art Unit	1745	
	(Use as many sh	eets as	necessary)	Examiner Name	Harry D. Wilkins III	
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ATD*	US-6,654,122	11-25-2003	KYLE HANSON	
AUD*	US-6,660,137	01-24-2002	GREGORY WILSON	
AVD*	US-6,672,820	01-06-2004	KYLE HANSON	
AWD*	US-6,678,055	05-29-2003	OFER DU-NOUR	
AXD*	US-6,699,373	08-15-2002	DANIEL WOODRUFF	
AYD*	US-6,709,562	01-01-2001	PANAYOTIS C. ANDRICACOS	
AZD*	US-6,749,390-A1	09-27-2001	WOODRUFF	
AAE*	US-6,752,584	11-22-2001	DANIEL WOODRUFF	
ABE*	US-6,755,954-A1	12-26-2002	MAYER ET AL.	
ACE*	US-6,773,571	08-10-2004	MAYER ET AL.	
ADE*	US-6,780,374-A1	07-25-2002	WEAVER ET AL.	
AEE*	US-6,916,412	10-25-2001	WOODRUFF	
AFE*	US-6,921,468-A1	04-25-2002	GRAHAM ET AL.	
AGE	US-60/129,055	04-13-1999		
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	BG	EP-0 257 670	03-02-1988	ESTHAM ET AL.		
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	BJ	EP-0 677 612 A2	10-18-1995	KIMBERLY-CLARK CORP.		
	BK	EP-0 677 612 A3	10-18-1985	KIMBERLY-CLARK CORP		
	BL	EP-1 069 213 A2	07-11-2000	APPLIED MATERIALS INC.		
	ВМ	EP-0047132 B1	07-03-1985	HERAEUS QUARZSCHMELZE		
	BN	EP-0452939 B1	11-02-2000	APPLIED MATERIALS, INC.		
	во	EP-0 290 210	11-09-1988	OKI ELECTRIC INDUSTRY CO.		
	BP.	GB-2217107A	03-23-1989	CANON KABUSHIKI KAISHA		
	BQ	GB-2 254 288 A	03-24-1992	SCAPA GROUP PIC		

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Signature	·		Considered	

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IN	NFORMATIC	N DIS	CLOSURE	Filing Date	December 5, 2003	
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				Art Unit	1745	
(Use as many sheets as necessary)			ecessary)	Examiner Name	Harry D. Wilkins III	
Sheet	10	of	11	Attorney Docket Number	291958237US	

c	125-23-33-33-33-33-33-33-33-33-33-33-33-33-			
BR	GB-2 279 372A		KIMBERLY-CLARK CORP.	
BS	JP-1048442		TEXAS INSTRU JAPAN	1
ВТ	JP-11080993-A		EBARA CORP	1
BU	JP-7197299-A		CASIO COMPUTER CO. LTD.	1
BV	JP-4311591		SUMITOMO METAL IND. LTD.	- √
BW	JP-10-083960	03-31-1998	TAKASHI	- √
BX	JP-5211224	08-20-1993	TEXAN INSTR JAPAN	1
BY	JP-6073598-A	03-15-1994	SUGANUMA KEIICHIRO	1
BZ	JP-4144150	05-18-1992	OKI ELECTRIC IND CO LTD	- √
BA1	JP-5195183-A	08-03-1993	MITSUBISHI ELECTRIC CORP	1
BB1	JP-7113159-A	05-02-1995	CANON INC.	1
BC1	JP-6017291-A	01-25-1994	NEC CORP	1
BD1			MURATA MACH LTD.	1
BE1			NEC CORP	1
BF1			OKI ELECTRIC IND CO. LTD.	1
BG1			SEMITOOL, INC.	
BH1			THE REGENTS OF UNIV OF CA	
BI1	WO-00/02675		SEMITOOL, INC.	
BJ1			SEMITOOL, INC.	
BK1	WO-02/02808		SEMITOOL, INC.	
BL1	WO-02/97165		SEMITOOL, INC.	
BM1	WO-90/00476	01-25-1990	TUCKERMAN	
BN1	WO-99/25904		REID ET AL.	
BO1	WO-02/99165	12-12-2002	SEMITOOL, INC.	
BP1			SABRE, INC.	
BQ1	WO-99/45745		ARMSTRONG ET AL.	
BS1			RITZDORF ET AL.	
BT1			SEMITOOL, INC.	
	WO-99/16936		SEMITOOL, INC.	
BV1			PATTON ET AL.	
	WO-01/90434		SEMITOOL, INC.	
BX1			DORDI ET AL.	_   _
BY1			WOODRUFF ET AL.	_
BZ1			WILSON ET AL.	_
BB2		07-27-1995		_
	WO-03/18874		SEMITOOL, INC.	
BE2			WILSON ET AL.	
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	WO-02/045476		SEMITOOL, INC.	
BH2	WO-99/41434	108-19-1999	ACM RESEARCH, INC.	

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Signature	Considered

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Sut	Substitute for form 1449A/B/PTO			Complete if Known		
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11	<b>IFORMATIO</b>	N DI	SCLOSURE	Filing Date	December 5, 2003	
l s	STATEMENT BY APPLICANT			First Named Inventor	John L. Klocke	
				Art Unit	1745	
(Use as many sheets as necessary)			necessary)	Examiner Name	Harry D. Wilkins III	
Sheet	11	of	11	Attorney Docket Number	291958237US	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Examiner	Date	
Signature	Considered	